# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.:

4,182,763

Named Inventors:

George P. Casten, Gordon R. McKinney, Roger E. Newton, E. Crosby Tompkins and John H. Weikel, Jr.

: For:

BUSPIRONE ANTI-ANXIETY METHOD

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**GROUP 120** 

Group Art Unit:

125

Examiner:

Stanley J. Friedman

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

> PETITION UNDER 37 C.F.R §1.322 FOR CERTIFICATE OF CORRECTION OF OFFICE MISTAKE

Sir:

The undersigned agent for the assignee of record, Mead Johnson & Company, in behalf of said assignee hereby respectfully petitions the Honorable Commissioner of Patents and Trademarks to issue a Certificate of Correction for U.S. Patent No. 4,182,763 in the form attached hereto. A copy of said patent is also attached.

The mistake appears in claim 5 which states that claim 5 is dependent on "claims 2, 3 or 5," whereas the dependency should have been on "claims 2, 3 or 4." It is believed that the mistake occurred during the printing of the patent. A copy of the original claims is attached and shows that the dependency of claim 5, as filed, was on "claims 2, 3 The dependency of claim 5 was not changed during the prosecution of the application for said patent.

Please charge any fees for the Petition or in connection therewith to Deposit Account No. 02-3825.

Respectfully submitted,

Dated:

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[11]

4,182,763

## Casten et al.

[45]

Jan. 8, 1980

[54]	BUSPIRO	NE ANTI-ANXIETY METHOD
[75]	Inventors:	George P. Casten; Gordon R. McKinney; Roger E. Newton; E. Crosby Tompkins, all of Evansville; John H. Weikel, Jr., Mt. Vernon, all of Ind.
[73]	Assignee:	Mead Johnson & Company, Evansville, Ind.
[21]	Appl. No.:	908,597
[22]	Filed:	May 22, 1978
[52]	U.S. Cl	
[56]		References Cited
•	U.S. 1	PATENT DOCUMENTS
3.7	17.634 2/19	73 Wu et al 260/256.4 N

3,976,776 8/1976 Wu et al. ...... 424/251

### OTHER PUBLICATIONS

Wu et al., J. Med. Chem. 15, (1972), pp. 477-479. Allen et al., Arzneim-Forsch, 24, No. 6, 917-992 (1974). Sathancenthan et al., Current Therapeutic Research, 18, (5), 701-705 (1975).

Primary Examiner—Stanley J. Friedman Attorney, Agent, or Fine-R. E. Carnahan; R. H. Uloth

#### [57] AESTRACT

Buspirone hydrochlemde is an effective anti-anxiety agent for the palliative treatment of neurotic patients in which symptoms of saxiety are predominant at doses which are without observable effect in either normal individuals or psychonic patients.

9 Claims, No Drawings

#### **BUSPIRONE ANTI-ANXIETY METHOD**

#### FIELD OF THE INVENTION

This invention is concerned with a drug bio-affecting and body-treating process which employs the pyrimidine compound 8-[4-[4-(2-pyrimidinyl)-1-piperazinyl]butyl]-8-azaspiro[4.5]decane-7,9-dione or a pharmaceu-Subclass 251).

#### DESCRIPTION OF THE PRIOR ART

The pyrimidine compound with which the present invention is concerned has the following structural 15 formula

The hydrochloride salt has been referred to in the prior art as MJ 9022-1 and as buspirone hydrochloride. Other acid addition salts thereof are named by combining "buspirone" with the appropriate word to define the chloride." The latter is the United States Adopted Name (USAN). Refer to J. Amer. Med. Assoc. 225, 520

The synthesis of the compound and the identification of its psychotropic properties typical of the major tran- 35 fear, which occurs in realistically dangerous situations." zuilizers such as chlorpramazine are described in the following patents and publications.

- 1. Y. H. Wu, et al., J. Med. Chem. 15, 477 (1972) 8-(4-Substituted "Psychosedative Agents. 2. Piperazinylalkyl)-8-azaspiro[4.5]decane-7,9-diones".
- 2. Y. H. Wu, et al., U.S. Pat. No. 3,717,634 patented Feb. 20, 1973. "N-(Heteroarcyclic)piperazinylalkylazaspiroalkanediones".
- 3. L. E. Allen, et al., Arzneim.-Forsch. 24, Nr. 6, 917-922 (1974). "Pharmacologic Effects of MJ 9022-1, a Potential Tranquilizing Agent."
- 4. G. L. Sathananthan, et al., Current Therapeutic Research, 18, (5), 701-705 (1975). "MJ 9022: Correlation Between Neuroleptic Potential and Stereotypy."
- 5. Y. H. Wu, et al., U.S. Pat. No. 3,976,776 patented 50 Aug. 24, 1976. "Tranquilizer Process Employing N-(Heteroarcyclic)piperazinylalkylazaspiroalkanediones."

#### SUMMARY OF THE INVENTION

The process of the present invention is intended for the palliative treatment of neurosis with buspirone or a pharmaceutically acceptable acid addition salt thereof where anxiety symptoms are prominent. Pharmaceuti- 60 cally acceptable acid addition salts of buspirone and methods of pharmaceutical formulation are described in the above patent (2) of Y. H. Wu, et al., U.S. Pat. No. 3,717,634 which is incorporated herein in its entirety by reference. The process is specifically intended for adult 65 patients who present with manifest anxiety characterized by an affective state which may occur under many clinical circumstances and in diverse pathologic con-

texts. It is also applicable to children in similar circum-

Neurosis is a functional nervous disorder without demonstrable physical lesion. Neuroses are defined in "Diagnostic and Statistical Manual of Mental Disorders" 2nd Edition, published by American Psychiatric Association, 1968 (Library of Congress Catalog No. 6826515) as follows (page 39).

tically acceptable acid addition salt thereof (Class 424, 10 may be felt and expressed directly, or it may be con-"Anxiety is the chief characteristic of the neuroses. It trolled unconsciously and automatically by conversion, displacement and various other psychological mechanisms. Generally, these mechanisms produce symptoms experienced as subjective distress from which the patient desires relief.

> "The neuroses, as contrasted to the psychoses, manifest neither gross distortion or misinterpretation of external reality, nor gross personality disorganization. A possible exception to this is hysterical neurosis, which 20 some believe may occasionally be accompanied by hallucinations and other symptoms encountered in psycho-

"Traditionally, neurotic patients, however severely handicapped by their symptoms, are not classified as psychotic because they are aware that their mental functioning is disturbed.'

Anxiety neurosis is defined in the same reference as follows (page 39).

"This neurosis is characterized by anxious over-conacid from which it is prepared as in "buspirone hydro- 30 cern extending to panic and frequently associated with somatic symptoms. Unlike Phobic neurosis (q.v.), anxiety may occur under any circumstances and is not restricted to specific situations or objects. This disorder must be distinguished from normal apprehension or

> The present process is concerned with the treatment of anxiety neuroses, and is to be distinguished from prior psychotherapeutic processes employing buspirone which dealt with psychoses. The following definition of psychoses is quoted from the above cited "Diagnostic and Statistical Manual of Mental Disorders" for the purpose of differentiating "psychoses" from "neuroses."

"Patients are described as psychotic when their men-45 tal functioning is sufficiently impaired to interfere grossly with their capacity to meet the ordinary demands of life. The impairment may result from a serious distortion in their capacity to recognize reality. Hallucinations and delusions, for example, may distort their perceptions. Alterations of mood may be so profound that the patient's capacity to respond appropriately is grossly impaired. Deficits in perception, language and memory may be so severe that the patient's capacity for mental grasp of his situation is effectively lost."

55 Different classes of drugs have been used in the past for the treatment of neuroses and psychoses and no relationship has developed among the drugs which are applicable to the treatment of these two distinct conditions. The psychoses are mainly treated with the phenothiazines with chloropromazine being representative of this class. The anti-anxiety agents or anxiolytics are drawn from a number of structural classes but the benzodiazopines, with diazepam as a specific example, include the majority of drugs used for this purpose. Buspirone is structurally unrelated to any other drug used in the treatment of neuroses.

Administration of buspirone according to the present invention may be by the parenteral, oral, or rectal • •

routes. The oral route is, however, preferred and there is, in fact, little need to employ other means of administration such as subcutaneous, intramuscular, or intravenous injection. The reason for this is that neurotic patients for whom the process is applicable are rational individuals, are generally treated on an out-patient basis, and are able to cooperate with the physician or psychiatrist. Generally speaking, the effectiveness of the method soon becomes evident to the patient and ensures his cooperation.

Dosage amounts are less than about 100 mg. per day and preferably in the range of 20-30 mg. per day. In exceptional cases, it may be necessary to increase the dose to about 60 mg. per day. Since the dosage must be tailored to the individual patient, the usual practice is to 15 commence with a dose of 5 mg. administered two or three times per day and to then increase the dose every two or three days by 5 mg. at each dosage time until the desired response is observed or until the patient complains of side effects. Single daily dosage is applicable in 20 some instances. The duration of treatment is extended until the patient's symptoms have substantially disappeared and a symptom-free period has elapsed. Usual periods of treatment are from one to three months. Treatment may be re-instituted at any time that symp- 25 toms reappear.

The dosage range referred to above serves to emphasize the distinction between the present process and the treatment of psychotic patients with buspirone as has been described in the prior art (G. L. Sathananthan, et al. op cit.) in which doses of from 600 to 2400 mg. per day of buspirone hydrochloride were required to demonstrate neuroleptic action in psychotic patients. The dosage range of 10 to 100 mg. per day which is applicable to neurotic patients to achieve an anxiolytic effect is without adverse effect in a normal individual and without neuroleptic effect in a psychotic patient for whom treatment with an anti-psychotic agent or major tranquilizer is indicated.

# DETAILED DESCRIPTION OF THE INVENTION

The patients for treatment according to the present invention are minimally characterized by the first two manifestations listed below which are exhibited to a 45 modrate or high degree of severity and preferably at least three of the others listed as (3) through (17).

Subjective Experiences:

- (1) Feeling nervous, jittery, jumpy
- (2) Feeling fearful, apprehensive, anxious, panicky
- (3) Fears of fainting, screaming, losing control, crowds, places, disaster, death
- (4) Avoiding certian places, things, or activities because of fear
- (5) Feeling tense or keyed up
- Muscular or Motor Phenomena:
- (6) Tense, aching muscles
- (7) Trembling, shaking
- (8) Restlessness, fidgeting
- Autonomic Phenomena:
- (9) Heart beating fast or pounding; chest pain
- (10) Trouble catching breath, air hunger, smothering, lump in throat, choking
- (11) Sweating, especially armpits, palms, soles of feet
- (12) Cold, clammy hands
- (13) Dry mouth
- (14) Dizziness, faintness, lightheadedness, weakness
- (15) Tingling feelings in hands or feet

(16) Stomach "gas", nausea, upset stomach

(17) Frequency or urgency of bladder or bowels

The patients are preferably rated before commencing treatment according to one or more of the established psychometric rating scales for neurotic patients. The same psychometric methods may then be used to evaluate the patient periodically during the treatment period, preferably every 2 or 3 days until the appropriate dosage schedule has been determined and then at weekly intervals.

Various suitable rating scales have been described in the literature. They have been collected in a form readily adapted to clinical use by the U.S. Department of Health, Education and Welfare in a volume by William Guy entitled "ECDEU Assessment Mannual for Psychopharmacology," Revised 1976, National Institute of Mental Health, 5600 Fishers Lane, Rockville, Maryland 20852 (DHEW Publication No. (ADM)76-338). ECDEU is an acronym for Early Clinical Drug Evaluation Unit. Some of these psychometric rating scales which are suitable for this invention are listed below. The page numbers refer to the foregoing collection.

JOII.	
Hamilton Anxiety Scale	page 193
Hamilton Depression Scale	page 179
Self Report Symptom	
Inventory	page 313
Profile of Mood States	page 529
Hopkins Symptom Checklist	page 575
Self Rating Symptom Scale	page 579
Clinical Global Impressions	page 217

Other Rating scales as may suit the psysician or psychiatrist may also be employed. Also, other tests as may be deemed desirable by the physician or psychiatrist in accord with good medical practice should be employed such as a complete medical history and physical examination.

Dosage is commenced at from 10 mg. to 20 mg. per day, and then increased step-wise until an anxiolytically effective dose is achieved without toxic effect. The dose may then be reduced to establish the optimal effect-minimal dose relationship. This usually occurs in the range of 20-30 mg. per day, but doses as high as 60 mg. per day may be employed. Doses as high as about 100 mg. are without substantial adverse effects in normal or neurotic individuals. Dosage on a b.i.d. or t.i.d. schedule is preferred.

# DESCRIPTION OF SPECIFIC EMBODIMENTS EXAMPLE 1

Open Study.—Thirty patients diagnosed as suffering from anxiety reaction were entered into an evaluation of buspirone hydrochloride for treatment of the condi-55 tion. Seven of the thirty patients also exhibited significant symptoms of depression in addition to their predominating symptoms of anxiety reaction. The duration of the study was four weeks. Seven patients dropped out of the study and were not included in the analysis of 60 the results. Two of these suffered side effects by the second day of treatment, one was improved by the seventh day of treatment, and the other four were lost to follow-up for unknown reasons. All patients entered into the study had a rating on the Hamilton Anxiety Scale (op. cit.) of at least 18 on entry into the study. Anxiety symptoms had been present for at least a month in all cases, and 19 had suffered the symptoms for a year or more. None of the patients exhibited evidence of

schizophrenia, affective psychosis, convulsive disorders, organic brain syndrome, strong sociopathy, drug addiction, or alcoholism. The patients were rated on entry into and at the conclusion of the study and weekly

uation are given in the following table. The status of the patients at the end of the study is given, as well as the cumulative results which include drop-outs evaluated at an interim period.

	PHYSICIAN AND PATIENT EVALUATION OF THERAPEUTIC EFFECT								
			Therapeutic Effect at Termination (No. Patients)					_ Average Daily	
	No. Patients		Physician Evaluation		Patient Evaluation				
Treatment At:	In Study	Dropout	Marked	Moderate	Minimal	Much	Moderately	A Little	Dose (mg.)
END OF STUDY									
Buspirone	14	61	8	4	2	10	1	1	23.75
Diazepam	. 14	62	7	À	3		÷	í	26.4 <sup>5</sup>
Placebo	10	103	1	ż	7	í	<u>-</u>	ž	20.4
CUMULATIVE			-	_	•	•	_	•	
RESULTS:									
Buspirone	18	24	10	4	3	12	1	1	19.6
Diazepam	20	none	7	6	7	10	•	3	18.7
Placebo	18	24	i	ž	15	3		15	10.7

One dropped out because of side effects, one due to unrelated illness; two because of improvement; two for unknown reasons

During fourth week.

according to the Hamilton Anxiety Scale (HAM-A op. cit.), the Hamilton Depression Scale (HAM-D op. cit.). and by a physician's questionaire (PQ) according to 25 which severity of the disease was rated on the following scale: I—not ill; 2—very mild; 3—mild; 4—moderate: 5 moderately severe; 6-severe; 7-extremely severe. Other rating methods were also used. The following table shows the average daily dose, and the rating scale 30 results. The HAM-A and HAM-D ratings at the end of the study were within the normal range, and the PQ rating indicated only very mild remaining anxiety.

AVE	AVERAGE ANXIETY RATINGS AND DOSAGES					
	Daily Dose (mg.)	HAM-A	HAM-D	PQ	n³	
Outset	0	21.5	12.1	4.5	23	_
Week I	21.3	11.0 <sup>1</sup>	8.8 <sup>2</sup>	3.62	23	
Week 2	25.1	8.31	7.42	2.92	16	
Week 3	24.1	5.51	4.81	2.52	13	
Week 4	19.9	2.81	3.71	2.32	12	

Paired t-test relative to outset values significant @ 0.01 level <sup>2</sup>Paired t-test relative to outset values significant @ 0.05 level.

#### **EXAMPLE 2**

Double Blind Study.-Sixty adult out-patients with manifest anxiety were selected for a double-blind paral- 50 lel study. Twenty patients were entered into each of three groups. One group was treated with buspirone hydrochloride, 5 mg. capsule, another with diazepam, 5 mg. tablet contained within a matching capsule, and the third with placebo, inert ingredients in a matching cap- 5. sule. The starting dose was one capsule b.i.d. (buspirone hydrochloride 10 mg. or diazepam 10 mg.) and the dose was increased by one or two capsules every two or three days depending upon therapeutic response and side effects. The maximum dose allowable was 60 mg. 60 per day of buspirone hydrochloride or diazepam. Laboratory and physical examinations were conducted on admission and at termination of the study and a number of standard psychometric rating scales were administered on admission, at weekly intervals, and at termina- 65 tion of the study. The study duration was four weeks. The results based upon the degree of improvement in the physician's evaluation and in the patient's own eval-

The therapeutic effect with buspirone hydrochloride was comparable to that obtained with diazepam. Buspirone had fewer side effects than either diazepam or placebo. Only three patients complained of side effects under buspirone. These occurred within the first two weeks and only one patient dropped out because of side effects (moderate dizziness, cold sweat). Ten patients complained of side effects under diazepam but only three dropped out for this reason (weakness, tiredness, nausea, vomiting, insomnia, vivid dreams, drowziness, depression, dry mouth, dizziness, excitement, confusion, 35 tachycardia, tremor, blurred vision, and headache). Six patients complained of side effects under placebo but none dropped out for this reason. Placebo drop-outs were due to lack of therapeutic effect. In addition, buspirone appeared to be effective in relieving depression in patients presenting with mixed anxiety and depression symptoms. Sleep information gathered during the study indicated that the patients slept more deeply under diazepam in contrast to the lighter sleep reported by the buspirone patients. A deeper sleep would accord with the sedation action of diazepam.

# **EXAMPLE 3**

Buspirone Hydrochloride 5 mg. and 10 mg. Tablets.-The following ingredients are employed.

	5 mg. Tablet	10 mg. Tablet
Buspirone Hydrochloride	5.0 mg	10.0 mg
Lactose, Anhydrous Direct compression	55.7	111.4
Starch, Sodium Carboxy-Methyl	8.0	16.0
Cellulose, Microcrystalline, NF	30.0	60.0
Colloidal Silicon Dioxide	0.5	1.0
Magnesium Stearate	0.8	1.6
TOTAL	100.0	200.0

**Processing Instructions:** 

- 1.0 Blend in a suitable mixer:
- (a) Colloidal Silicon Dioxide
- (b) Cellulose, Microcrystalline, NF
- 2.0 Pass the blended material from Step 1 through a screen.
  - 3.0 Blend in a suitable mixer:
  - (a) Screened powder from Step 2

<sup>&</sup>lt;sup>2</sup>Three dropped out because of side effects; one due to unrelated changed life situation, two because of impro

Two dropped out during the first week for unknown reasons; the rer nainder dropped out subsequently due to lack of improvement.

where no interim evaluation was obtained.

Number of patients included in evaluation

- (c) Lactose, Anhydrous DC
- (d) Starch, Sodium Carboxy-Methyl
- (e) Cellulose, Microcrystalline, NF
- (f) Magnesium Stearate
- 4.0 Compress the granulation into tablets.

What is claimed is:

- 1. A method for the palliative treatment of neurosis in which anxiety symptoms are prominent which comprises administering a non-toxic anxiolytically effective dose of buspirone or a pharmaceutically acceptable acid addition salt thereof to a neurotic patient.
- 2. The method of claim 1 wherein buspirone hydrochloride is employed, and dosage is by the oral route.

3. The method of claim 2 wherein said patient is suffering from anxiety neurosis.

4. The method of claim 2 wherein said patient is suffering from anxiety neurosis with depressive symptoms.

5. The method of claims 2, 3, or 5 wherein said patient is an adult and a daily dose of from 10 mg. to 60 mg. is employed.

The method of claim 5 wherein said daily dose is divided and administered b.i.d.

7. The method of claim 5 wherein said daily dose is divided and administered t.i.d.

8. The method of claim 2 wherein the maximum total daily dose of up to about 100 mg.

The method of claims 2, 3, or 4 wherein said patient
 is an adult and a daily dose of from 20 mg. to 30 mg. is employed.

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